



# **DRAVIDIAN UNIVERSITY**

**Srinivasavanam, Kuppam – 517 426**

## **Ph.D., REGULATIONS : 2022**

*(Modified on 09.12.2025 based on the University Grants Commission's (Minimum Standards and Procedure for Award of Ph.D., Degree) Regulations, 2022 issued through Gazette Notification dated 7<sup>th</sup> November, 2022, and Andhra Pradesh State Council of Higher Education (APSCHE) Guidelines for award of Ph.D. Degree dated 22<sup>nd</sup> May, 2024.)*

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### **1. PREAMBLE**

Dravidian University offers the Doctor of Philosophy (Ph.D) programme in various subjects under different departments of Dravidian University through full-time and part-time modes. The University also offers Ph.D. programme through Extramural Mode.

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**2. Eligibility criteria for admission to the Ph.D. Programme:** The following are eligible to seek admission to the Ph.D. programme

#### **2.1 Ph.D. through Full-Time mode:**

Candidates who have completed:

- (i) A 1-year/2-semester master's degree programme after a 4-year/8-semester bachelor's degree programme or a 2-year/4-semester master's degree programme after a 3-year bachelor's degree programme or qualifications declared equivalent to the master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification from a foreign educational Institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of the educational institution.
- (ii) A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer) Differently Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.



(iii) Provided that a candidate seeking admission after a 4-year/8-semester bachelor's degree programme should have a minimum of 75% marks in aggregate or its equivalent grade on a point scale wherever the grading system is followed. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.

(iv) Candidates who have completed the M.Phil. programme with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of educational institutions, shall be eligible for admission to the Ph.D. programme. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.

(v) In case of institutions of national and international eminence, the Academic Senate may recognize them as research centres for offering Ph.D. programmes based on the information provided by the institutions and the recommendations of the Committee constituted by the Vice- Chancellor for examining the infrastructure and other facilities.

## **2.2 Ph.D. through Part-time Mode:**

Ph.D. programmes through part-time mode will be permitted, provided all the conditions stipulated in these Regulations are fulfilled.

- i) Candidate satisfying the eligibility conditions noted under 1.1 above can be admitted as Part-time candidate through, RCET, as per the following order of priority.
- ii) Regular Faculty working in the University or the P.G. Centers.



- iii) Faculty working in Post Graduate and Professional Colleges having completed at least two years of service.

**OR**

- iv) Faculty/Librarians/Asst. Librarians working in affiliated degree /PG colleges and Faculty teaching Physics, Chemistry, Mathematics & Humanities in professional colleges having completed at least two years of service.

**OR**

- v) Faculty working in Polytechnic/Government or private aided Junior Colleges having completed at least four years of service. Seniority for candidates under each category shall be calculated with the following weightage.
- vi) Teaching at P.G. level / Professional - five points for each completed year of service in colleges
- vii) Teaching at graduate level/Professional - two points for each completed year of service in colleges.
- viii) Teaching at Polytechnic/ junior college - one point for every completed year of service.
- ix) Teaching at School-one point for every completed 2 years of service
- x) Technical employees working in research institutes having completed at least 5 years of service.
- xi) Teachers working with PG Degree working in Govt./Aided High Schools/ recognized Schools by the Govt. with not less than 6 years of service, as teacher.
- xii) The candidate shall submit a "No Objection Certificate" seeking to pursue Part-Time Ph.D. programme, from the competent authority in the organization where the candidate is employed, clearly stating that:
- The candidate is permitted to pursue studies on a part-time basis.
  - His/her official duties permit him/her to devote sufficient time for research.
  - If required, he/she will be relieved from the duty to complete the course work.
- xiii) Notwithstanding anything contained in these Regulations or any other law, for the time being in force, no Higher Educational Institution or



research institution of the Central government or a State Government shall conduct Ph.D. programmes through distance and/or online mode.

### **2.3 Conversion from Full-Time to Part-Time and Vice-Versa**

- (a) Candidates pursuing full-time Ph.D. programme may be permitted to convert into part-time Ph.D. programme provided they satisfy the eligibility conditions for part-time notwithstanding the length of service. However, the candidate has to pay the prescribed fee for such conversion. This conversion of full time to part time will be permitted only if one gets a job (Academic/Scientific). Full Time to Part Time conversion is allowed only after 9 months of registration and with the permission of the Guide(s) & RAC approval and subsequent final approval of the Dean, Academic Affairs.
- (b) The Dean of Academic Affairs may convert Full-time registration to Part-time and vice-versa subject to the availability of seat by obtaining specific remarks from the Research Supervisor, the Head of the Department and the Dean of School concerned. This conversion is allowed only once.
- (c) Conversion from Part-time to full-time is not allowed, except in case of candidates joining under Faculty Development Programme or getting a National /State Government/State Government undertaking body Fellowship.

### **2.4 General rules**

- a) A candidate carrying on Full-time research shall not be a student pursuing any other full-time course, or engage himself or herself in any other full-time occupation during the period of research.
- b) A candidate who is an employee may be permitted to carry on Part-time Ph.D. programme only when he/she satisfying the eligibility as per 2.2 and 2.3.
- c) Candidates shall have to join within the specified date, paying the necessary fees and submit all original certificates simultaneously. Joining report duly signed by the guide and Head of the Department concerned shall be submitted to the School Dean, concerned, and Dean of Academic Affairs.
- d) Registration fee and Tuition fee once paid will not be refunded or readjusted to any other programme. A Full-time candidate should submit his/her progress report through the guide for every quarter together with attendance particulars through proper channel to the Dean of Academic Affairs.



- e) A Part-time candidate should submit his/her progress report through the guide for every year together with attendance particulars through proper channel to the School Dean concerned to the Dean of Academic Affairs.
- f) In case, the progress report at any stage is adverse or not satisfactory, the registration of the candidate shall be cancelled, and as recommended by the RAC. It is desirable that a guide maintains a record of the progress reports.
- g) If a Full-time Research Scholar absents continuously for 3 months without any written intimation to the Department, the guide concerned shall report the same to the RAC concerned, School Dean concerned and Dean of Academic Affairs through proper channel recommending cancellation of the registration.
- h) If a Part-time Research Scholar has not attended the department concerned at least for 40 days in a year for two consecutive years, the guide shall report the same to the RAC and through the Dean concerned, to the Dean of Academic Affairs for cancellation of the registration.
- i) The non-teaching staff category candidates of Dravidian University / any other recognized institution shall be subjected to the same rules and regulations as other research candidates, in terms of actual attendance in the departments, progress reports and leave of absence from the institutions where they are working.
- j) The Dean of Academic Affairs may convert Part-time Research to Full-time when a Research scholar is selected under Faculty Improvement Programme / Faculty Development Programme by the UGC by obtaining specific remarks from the Research Supervisor, the Head of the Department and the Dean of School concerned. If there is no vacancy under Full-time at that point of time, the scholar may be converted into Full-time as against Part-time. Further, if there is no vacancy in Part-time, it may be treated as an extra seat.

### **3. Extramural Research**

- a. For admission into Ph.D. programme under Extra-mural category, there should be an Internal Guide recognized by the University in the recognized research institution where the candidate is working. In addition to the Internal Guide, a faculty member eligible to guide research from within the concerned Department of University or its PG Centers has to be included as an External Guide.



b. Registration of a candidate under extramural category shall be done once in a year, i.e., in July every year.

c. Eligibility for admission under Extramural category shall be as follows :

i) Scientists or technical Officers working in a research institution recognized by University with a P.G. or equivalent degree satisfying the eligibility conditions noted under 2.2 and having put in two years of experience (Ph.D. Part Time).

OR

ii) Research Scholars with P.G. or equivalent degree satisfying the eligibility conditions noted under 2.1 having a fellowship awarded by a duly constituted selection committee approved by university and attached to institution recognized as Research Centers by university and having completed at least six months with scholarship tenable for a further period of two years (Ph.D. Full Time).

#### **4. Procedure for admission to the Ph.D. programme:**

Admission to the Ph.D. programme shall be made using the following methods:

i) Universities shall admit students who qualify for fellowship/scholarship in UGC- NET/UGC CSIR NET/GATE/CEED and similar National level tests based on an interview.and/or

ii) Universities shall admit to the Ph.D. programme students through Andhra Pradesh Research Common Entrance Test (APRCET) conducted at the State level on behalf of the Universities concerned as per the G.Os issued by the government from time to time.

iii) In the case of Kannada and Tamil departments as approved in the **Executive council meeting dated, 27.10.2021.** Dravidian University may admit students through an Entrance Test conducted at the level of the university. The Entrance Test syllabus shall consist of 50% of research methodology and 50% shall be subject-specific.

iv) Students who have secured 50% marks in the entrance test are eligible to be called for the interview.

v) A relaxation of 5% marks will be allowed in the entrance examination for the candidates belonging to SC/ST/OBC differently-abled category, Economically Weaker Section (EWS), and other categories of candidates as per the decision of the U.G.C from time to time.



vi) University may decide the number of eligible students to be called for an interview based on the number of Ph.D seats available.

vii) Provided that for the selection of candidates based on the entrance test conducted by the HEI, a weightage of 70% for the entrance test and 30% shall be given for the performance in the interview.

viii) University to conduct Ph.D. programme, shall:

a) Notify a prospectus well in advance on the institution's website specifying the number of seats for admission, subject/discipline wise distribution of available seats, criteria for admission, the procedure for admission, and all other relevant information for the candidates.

b) Adhere to the National/State-level reservation policy, a applicable.

ix) The Higher Educational Institutions shall maintain a list of Ph.D. supervisors (specifying the name of the supervisor, his or her designation, and the department/school/centre), along with the details of Ph.D. scholars (specifying the name of the register Ph.D. scholar, the topic of his/her research and the date of admission) admitted under them on the website of the institution and update this list every academic year.

#### **4.1 Admission of International students in Ph.D. programme**

a. Each supervisor can guide up to two international research scholars on a supernumerary basis over and above the permitted number of Ph.D. scholars as specified in clause 9 and 12.

b. The Universities may decide their own selection procedure for Ph.D. admission of international students keeping in view the guidelines/norms in this regard issued by statutory/regulatory bodies concerned from time to time.

c. At any point, the total number of Ph.D. scholars under a faculty member, either as a supervisor or a co-supervisor, shall not exceed the number prescribed in clause 12.

## **5. Registration of Candidates:**

- a. The Registration of Candidates into Ph.D programme shall be done by the office of the Dean Academic Affairs based on the recommendations of the Departmental Research Committee (DRC) of a particular Department.
- b. All such Candidates recommended for admission into Ph.D programme shall pay stipulated fees for confirmation of their admission.
- c. Registration proceedings shall be issued to the candidate by the office of the Dean Academic Affairs based on the recommendations of the DRC concerned specifying his / her research topic along with research proposal and allotment to a particular recognized research supervisor.

## **6. Departmental Research Committee (DRC)**

- Every academic department must have a Departmental Research Committee (DRC).
- All recognized Research Supervisors shall be the Members of the DRC.
- The Head of the Department is the Convener of the Committee.
- The Chairman, Board of Studies is the Chairman of the Committee.

## **7. Functions of DRC**

The functions of the Departmental Research Committee, with regard to the research admission are:

- a. To fix up the number of seats in Ph.D. to be filled up every year for research admissions (the strength of the candidates with a guide at any time shall not exceed the number given in Research Regulations).
- b. To approve the Academic Calendar for Ph.D. programme for the academic year.
- c. To recommend syllabi for Pre-Ph.D. course work for Paper-I (Research Methodology) approved by the BoS, concerned.
- d. To suggest panel of examiners and paper setters for Pre-Ph.D. examinations Paper-I (Research Methodology).
- e. To prepare Database of experts for each specialized area which can be used for the preparation of panel of examiners for adjudicating the Ph.D. thesis. The database may be periodically updated and made available to BoS. To evaluate the progress in the research work of the scholars.



- f. To participate in all the Viva-Voce examinations and seminars of the Pre.Ph.D./Ph.D. programmes.

## **8. Research Advisory Committee (RAC)**

### **8.1 Formation of RAC**

- Every academic department must have a Research Advisory Committee (RAC). The term of the Research Advisory Committee (RAC) is for a period of two years or coterminous with Chairperson, Board of Studies whichever is earlier.
- The composition of the RAC is as follows: 2 Professors, 2 Associate Professors, 1 Assistant Professor, Head of the Department and Chairman, Board of Studies. All members must have doctoral degrees.
- *Research supervisor of the Ph.D. Scholar shall be the Convener of the Committee. The Chairman, Board of Studies is the Chairman of the Committee.* If there are no adequate number of Faculty in any cadre in the Department, those places may be filled in by the faculty of other cadres. In the case of single faculty Departments, those places may be filled in by the faculty from the related Department, Head of the Department, School Dean concerned and Chairman, Board of Studies.

### **8.2 Functions of RAC:**

**The functions of the Research Advisory Committee (RAC) are:**

- a. To review the research proposal and finalize the topic of research.
- b. To suggest panel of examiners and paper setters for Pre-Ph.D. examinations to the Board of Studies for Paper II and Paper III.
- c. To guide the Ph.D. scholar in developing the study design and methodology of research and identify the course(s) that he/she may have to do.
- d. To periodically review and assist in the progress of the research work of the Ph.D. scholar.
- e. Each semester, a Ph.D. scholar shall appear before the RAC to make a presentation and submit a brief report on the progress of his/her work for evaluation and further guidance. The RAC shall submit its recommendations along with a copy of Ph.D. scholar's progress report to the Director, R& D cell of the University concerned and to O/o Dean, Academic Affairs. A copy of such recommendations shall also be provided to the Ph.D. scholar.



- f. In case the progress of the Ph.D. scholar is unsatisfactory, the RAC shall record the reasons for the same and suggest corrective measures. If the Ph.D. scholar fails to implement these corrective measures, the RAC may recommend, with specific reasons, the cancellation of the registration of the Ph.D. scholar from the Ph.D. programme.

#### **9. Eligibility criteria to be a Research Supervisor and Co- Supervisor**

- a. Permanent faculty members working as Professor/Associate Professor of Universities with a Ph.D., and at least five research publications in peer-reviewed or refereed journals and Permanent faculty members working as Assistant Professors in Universities with a Ph.D., and at least three research publications in peer-reviewed or refereed journals may be recognized as a Research Supervisor in the university where the faculty member is employed or in its affiliated Post-graduate Colleges/institutes. Such recognized research supervisors cannot supervise research scholars in other institutions, where they can only act as co-supervisors. Ph.D. awarded by a university under the supervision of a faculty member who is not an employee of the university or its affiliated Post- graduate Colleges/institutes would be in violation of these Regulations.
- b. For Ph.D. scholars working in Central government/ State government research institutions whose degrees are given by Higher Educational Institutions, the scientists in such research institutions who are equivalent to Professor/Associate Professor/Assistant Professor can be recognized as supervisors if they fulfill the above requirements. Provided that in areas/disciplines where there is no, or only a limited number of peer-reviewed or refereed journals, the Universities may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing.
- c. Co-Supervisors from within the same department or other departments of the same institution or other institutions may be permitted with the approval of the competent authority. Adjunct Faculty members shall not act as Research Supervisors and can only act as co-supervisors. In case of interdisciplinary/multidisciplinary research work, if required, a co-Supervisor from outside the Department/ School / Centre / College / University may be appointed.



- d. An eligible Professor/Associate Professor/Assistant Professor can guide up to eight (8) / six (6) / four (4) Ph.D. scholars, respectively, at any given time.
- e. In case of relocation of a female Ph.D. scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the Higher Educational Institution to which the scholar intends to relocate, provided all the other conditions in these Regulations are followed, and the research work does not pertain to a project sanctioned to the parent Institution/Supervisor by any funding agency. Such scholar shall, however, give due credit to the parent institution and the supervisor for the part of research already undertaken.
- f. Faculty members with less than three years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D. scholars who are already registered until superannuation and as a co-supervisor after superannuation, but not after attaining the age of 70 years.
- g. The DRC of the relevant Department processes the application for recognition as research guide and forward it to the Director, R&D cell of the concerned University College. The Dean, Academic Affairs shall give necessary orders for recognition based on the recommendation of RAC and approval of Vice-Chancellor.
- h. A retired teacher may act as Research Guide for full-time, if he/she is appointed as Emeritus Professor and or if he/she has a Major Research Project with Junior Research Fellowship/Senior Research Fellowship. Those faculty having Emeritus position or a Research Project beyond their retirement can act as guides for two years.
- i. Faculty who resigned/retired voluntarily from service shall include an eligible teacher from their departments as co-guide for every research student working under their guidance. However, their guide ship will be terminated in two years from the date of resignation/voluntary retirement, while the co-guide will become the Principal Guide.
- j. Notwithstanding anything stated above, recognition may be bestowed on persons with exceptional merit by the Vice Chancellor.



## **10. Research Centers**

### **10.1 Research Laboratories as Research Centers**

Research laboratories of Government of India/State Government located in the State/R&D Centers of Public Sector Undertakings/Private R&D Centres of Industries with a turnover of not less than Rs.500 crores with at least two eligible Research Supervisors in the Department concerned along with required infrastructure, supporting administrative and research promotion facilities as per UGC Regulations shall be considered eligible to be recognized as Research Centers.

### **10.2 Academic, research, administrative, and infrastructure requirements to be fulfilled by colleges for getting recognition for offering Ph.D. programme**

1. Post-graduate Colleges offering 4-year Undergraduate Programmes and/or Post-graduate Programmes, may offer Ph.D. programmes, provided they satisfy the availability of eligible Research Supervisors, required infrastructure, and supporting administrative and research facilities as per these Regulations.
2. Colleges and research institutions established by the central government or a State government whose degrees are awarded by Higher Educational Institutions shall offer Ph.D. programmes provided they have:
  - i. At least two faculty members in a college or two Ph.D.-qualified scientists in the research institution.
  - ii. Adequate infrastructure, administrative support, research facilities and library resources as specified by the University.
3. Earmarked library resources including latest books, Indian and International journals, e- journals, extended working hours for all disciplines, adequate space for research scholars in the Department/library for reading, writing and storing study and research materials.
4. Colleges may also access the required facilities of the neighboring Institutions/Colleges, or of those Institutions/Colleges/R&D laboratories/Organizations which have the required facilities.
5. The P.G. Course in Science /Arts and Commerce/ Engineering/Pharmacy must be in existence for at least five years



6. Necessary amount per course as prescribed by the university should be invested for procurement of latest journals and books.
7. An amount per course prescribed by the University should be invested to upgrade the Laboratory facilities for the courses.
8. A minimum of two years working experience for the faculty in teaching the P.G. course in the college after obtaining Ph.D. having at least two research articles in journals having science citation index/journals having impact factor given by Thomson Reuters/UGC listed journals.
9. Failure to ensure the recognized research guide's stay in the college for a period of three years from the date of admission of the candidates into research, the college has to pay a minimum penal fee of Rs.1 lakh for each scholar admitted.
10. If the teacher is retired or leaves the Institution the research student may be transferred to any other eligible guide or to any other research center recognized by university. For any reason, if that is not possible the teacher may have to suggest any person from any of the recognized research institutes.
11. In case of admission in affiliated colleges recognized as research centers of university, there shall be a co-guide from the concerned academic department of the constituent college of University or PG center of university.

### **10.3 Process of recognizing research centers**

The process of awarding recognition will be initiated on receipt of application from the Institute in a prescribed proforma. Recognition to these institutions will be approved by the Academic Senate based on the recommendations of a committee appointed by the Vice- Chancellor to examine the infrastructure and other facilities. These institutions will be required to bear the cost of inspection. Institutions thus recognized as research centers shall pay a recognition fee as prescribed by the University from time-to-time.

In case of institutions of national and international eminence, the Academic Senate may recognize them as research centers for offering Ph.D. programmes based on the information provided by the institutions and the recommendations of the Committee constituted by the Vice-Chancellor for examining the infrastructure and other facilities.

## **11 Duration of the Programme.**

1. Ph.D. Programme shall be for a minimum duration of three years, including course work, and a maximum duration of six (6) years from the date of admission to the Ph.D. programme.
2. A maximum of an additional two (2) years can be given through a process



of re-registration as per the Statute/Ordinance of Dravidian University; provided, that the total period for completion of a Ph.D. programme should not exceed eight (8) years from the date of admission into the Ph.D. programme.

3. Provided further that, female Ph.D. scholars and Persons with Disabilities (having more than 40% disability) may be allowed an additional relaxation of two(2)years ; however, the total period for completion of a Ph.D. programme in such cases should not exceed ten (10)years from the date of admission in the Ph.D. programme.
4. Female Ph.D. Scholars may be provided Maternity Leave/Child Care Leave for up to 240 days in the entire duration of the Ph.D. programme.

The duration of Ph.D for M.Phil Degree holders is as follows:

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| a. Ph.D (full-time) with M.Phil Degree:    | Minimum 2 years and<br>Maximum 5 years. |
| b. Ph.D (full-time) without M.Phil Degree: | Minimum 3 years and<br>Maximum 6 years. |
| c. Ph.D (part-time) with M.Phil Degree:    | Minimum 3 years and<br>Maximum 5 years. |
| d. Ph.D (part-time) without M.Phil Degree: | Minimum 4 years and<br>Maximum 6 years. |

**Notwithstanding anything contained in the above, in exceptional cases, the Vice-Chancellor can review and take a decision, which is final and binding on all parties.**

## **12 Intake, Eligibility, Admission Process and Fees**

### **12.1 Intake**

Intake in Ph.D. programme in a particular subject / Department depends on the vacancies available with the recognized Research Supervisors in that particular subject / Department subject to condition that not more than 2 (two) Ph.D., Scholars shall be registered with a supervisor per year.

#### **Maximum entitlement of seats**

Designation	Ph.D (both full-time & part-time)
Professor	8
Associate professor	6



Assistant Professor	4
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## 12.2 Fees

A Candidate selected for Ph.D. programme shall pay the stipulated fee as indicated in Dravidian University Research programmes prospectus or as prescribed by State Government of Andhra Pradesh, from time to time.

- a. **Tuition fee per annum : Every Ph.D student is required to pay Annual Tuition Fee every year upto the time of submission of Ph.D thesis to the University for evaluation.**

Sl.no.	Name of the stream	Tuition Fee per Annum
	<b>Sciences and Technology</b> (Biotechnology, Chemistry, Computer Science, Library and Information Science)	<b>Rs. 30,000/-</b>
	<b>Human and Social Sciences</b> (Folklore and Tribal Studies, Linguistics, History, Education, Physical Education, Business Management )	<b>Rs. 25,000/-</b>
	<b>Languages, Translation Studies and Philosophy</b> (Comparative Dravidian Literature, Philosophy, Telugu, Tamil, Tulu, Kannada, English )	<b>Rs. 25,000/-</b>

- b. **Fee for Conversion from Full-Time to Part-Time and Vice-Versa:**

- c. **Extension Fee after completion of Maximum period:** In case, if the Ph.D student seeks extension of period beyond maximum period, he/she is liable to pay the Annual Tuition fee plus prescribed Extension fee as given below:

i) **First year of Extension:** Prescribed Annual Tuition Fee plus Extension fee of Rs. 10,000/-

ii) **Second year of Extension:** Prescribed Annual Tuition Fee plus first year Extension fee of Rs. 10,000/- plus Rs.15,000/-



As mentioned above, the research policy allows for two standard extensions to a Ph.D program beyond the prescribed maximum period, with a potential third exceptional extension approved by the Vice-Chancellor upon a comprehensive review process. This third extension requires a penal fee of Rs. 75,000/- to be paid by the Research scholar and shall be based on the specific remarks from the Research Supervisor about the progress of research work concerned and must be routed through the Research Supervisor, Research Advisory Committee, Department Head, School Dean, and Dean of Academic Affairs, and the decision of Vice-Chancellor shall be final in this matter.

The policy aims to provide structured flexibility for researchers while maintaining academic rigor and ensuring timely completion of research programs, with the penal fee serving as a deterrent to excessive delays and encouraging focused academic work.

- e. **Ph.D Registration Cancellation Policy:** A doctoral candidate's registration will be automatically stands cancelled if they fail to submit their thesis within the prescribed maximum extended time limit of 8 years for standard scholars, and 10 years for female scholars and persons with disabilities (with disability exceeding 40%), as specified under Clause 11.

### **13 Allocation of Research Supervisor**

1. The registration of a candidate can be done either at university department or any Institution recognized by the university as a centre of research.
2. The allocation of Research Supervisor for a selected research scholar shall be decided by the RAC concerned depending on the number of scholars per Research Supervisor, the available specialization among the Supervisors and research interests of the scholars as indicated by them at the time of interview/viva voce.
3. In case of interdisciplinary/multidisciplinary research work, if required, a Co-Supervisor from outside the Department / School / Centre / College/University may be appointed.

### **14 Change of Guide**

If a candidate wishes to change a guide or include a co-guide for valid reasons, the request may be considered by **DRC** as per the merits of the case. However, such cases where the candidate is permitted, he/she can submit the thesis only after one year from the date of change of guide or



inclusion of additional guide.

## **15 Title of the Research Topic**

a) The field or area of research shall be specified in the application at the time of registration. The Full-time candidate has to present a seminar on the provisional topic chosen within the broad area of research given in the application before the Research Advisory Committee (RAC) within six months from the date of registration, so as to finalize the topic of research whereas in the case of Part-time, it will be within one year.

b) The finalized title of the thesis shall be communicated to the Controller of Examinations and also to the Dean of Academic Affairs.

c) After the finalization of the topic (Six Months for Full-Time and One year for Part-Time), change of topic is allowed with penal fee of Rs.5000/-. (i) Request for Change of topic can be submitted through proper channel up to Pre-Ph.D written examination. (ii) After this period, any modifications in the title may be allowed with the recommendations of RAC. (iii) Any major change in the title be referred to URC.

## **16. Attendance**

a) A full-time Ph.D. student is required to spend the minimum prescribed period of three years, in the case of Master's Degree holders and two years in the case of M.Phil. degree holders, in the University.

b) The prescribed minimum period for Ph.D. part-time research with M.Phil. degrees is three years and four years for those without M.Phil. A part-time candidate employed in an outside institution will be required to spend at least six weeks (40 days) every year in the concerned University Department during the prescribed total period of research.

c) After the end of maximum period, A grace period of 30 Days will be given to the Ph.D. Research Scholars after completion of stipulated period for submission of thesis. After 30 days the candidate has to pay the Extension (penalty) fee as prescribed in fee structure for submission of thesis. However, candidates who have excellent progress and who have got employment may be considered for conversion of their full- time programme to part time upon recommendations of the RAC provided they have fulfilled the attendance criteria and qualified in the Pre-Ph.D. examination. Such candidates have to pay necessary conversion fee.

d) Women candidates and Persons with Disability (more than 40% disability) may be allowed a relaxation of two years for Ph.D. in the maximum



duration. In addition, the women candidates may be provided Maternity Leave/Child Care Leave once in the entire duration of Ph.D. for up to 240 days.

- e) The research candidates, who require field-work, approved by the DRC, is eligible for ALL days, as sanctioned by the DRC. Their field-work shall be considered as a part of working research days.

## **17. Course Work**

The credits assigned to the Ph.D. course work shall be 14 credits, including a "Research and Publication Ethics" course as notified by UGC VIDE d.o.No.F.1-1/2018 (Journal/CARE) in 2019 and a research methodology course (Three Theory Papers, Each 4 credits+ One Seminar Paper, 2 Credits). The Research Advisory Committee can also recommend UGC recognized online courses as part of the credit requirements for the Ph.D. programme.

- The Department where the scholar pursues his/her research shall prescribe the course(s) to him/her based on the recommendations of the RAC.
- Course work for Pre-Ph.D. Examination consists of the following four papers:

**Paper–I:** Research Methodology which could also cover areas such as quantitative methods, computer applications, research ethics and review of published research in the relevant field, training, field work, etc. for 100 marks/Four Credits

**Paper–II:** Advanced Subject Paper/Recent Advances in the Subject for 100 marks/Four Credits

**Paper–III:** Paper on research Area for 100marks/Four Credits

**Paper–IV:** Open Seminar for 50 marks/Two credits

17.1 RAC shall submit the credit points along with recommendations to the University. The candidate shall give a seminar on the research topic emphasizing the importance of the problem, review of literature, methodology and techniques to be adopted including interpretation of data and the expected outcome. The seminar is open to all members of the department.

17.2 All Ph.D scholars, irrespective of discipline, shall be required to train in teaching,/ education/pedagogy/writing related to their chosen



Ph.D., subject during their doctoral period. Ph.D scholars may also be assigned 4-6 hours per week of teaching/research assistantship for conducting tutorial or laboratory work and evaluations.

17.3 The Ph.D scholars (Full-time) admitted from Y-2022 batch onwards have to complete mandatory course work in the first two semesters/before one year to stay in the Ph.D program. The Part-time Ph.D scholars must complete Course work in the first Four semesters to stay in the Ph.D program. The Ph.D course work is mandatory for all students. Course work exemption will not be granted under any circumstances. If a student fails to complete the course work in the given period, will have to leave the program.

17.4 The Deans/heads of the respective Academic Units should immediately inform Dean, Academic Affairs office & Controller of examinations office, if any student fails to complete the coursework within 4 semesters.

17.5 In course work for Ph.D., (i) required attendance is 75% .

17.6 In case of full-time scholars, Pre. Ph.D. Examination examinations are to be conducted after 9 months and before one year from the date of registration, while in case of part-time candidates the examination is to be conducted after 18 months and before the completion of 2<sup>nd</sup> year months from the date of registration. The date of payment of fee at the time of admission will be treated as the date of registration.

## **18. Syllabus and Examination**

a) The Departmental Research Committee of the concerned department shall prescribe the syllabus for Paper-I approved by BoS while the syllabus for Paper-II and Paper -III shall be provided by the concerned research guide approved by RAC. The paper setting is to be done by External examiners.

b) The candidate shall give a seminar on the research topic emphasizing the importance of the problem, review of literature, methodology and techniques to be adopted including interpretation of data and the expected outcome. The seminar is open to all members of the department.

c) RAC will act as the Seminar Committee. The candidate shall give a seminar on the research topic emphasizing the importance/significance of the problem/topic (10 marks), review of related literature/theory (10



marks), research design/methodology and technique adopted for carrying out the research (10 marks), work (research) progress (10 marks) and the expected outcome/hypothesis (10 marks).

#### **19. Seminar Evaluation**

1. Average marks, awarded by the RAC shall be treated as marks awarded to the candidate.
2. The Examination Committee shall follow the apportioned marks as Indicated above. Minimum qualifying marks is 25 out of 50.
3. Controller of Examination shall schedule the seminar examination as the last exam of Pre-Ph.D examination schedule.

#### **20. Evaluation of Ph.D. Course Work**

- a. The panel of paper setters and examiners for Paper-I, II & III should be provided by the Chairperson, Board of Studies from the list approved by Board of Studies.
- b. Evaluation of the Paper-I, II & III of Part-I Course work shall be double valuation with TWO External Examiners.
- c. A Ph.D. scholar has to obtain a minimum of 55% of marks or its equivalent grade in the UGC7-point scale (or an equivalent grade/CGPA in a point scale wherever grading system is followed) in the course work in order to be eligible to continue in the programme and submit the dissertation/thesis.
- d. Candidates who fail in first attempt will be given only one another chance to qualifying the examination. Registration for appearing the examination shall be considered as an attempt. In Ph.D course work, the Results and Grade sheets will only carry Pass/Fail results.
- e. Grading for Ph.D courses is as follows:  
80 < 100    A+  
75 < 80     A  
65 < 75     B+  
60 < 65     B  
55 < 60     C  
A grade sheet will be issued for the course work done.



## **21. Requirements for the award of Ph.D. Degree**

The requirements for the award of a Ph.D. degree are:

- i. Candidates should have been qualified in Pre-Ph.D. Examination.
- ii. Candidate should have been qualified in progress evaluation tests.
- iii. Prior to submission of final copy of thesis the candidate should make a presentation of the Ph.D. thesis work (Pre-Viva).
- iv. Candidate should have published at least two published research paper in a refereed Journal before the submission of the thesis for adjudication. In addition, two paper presentations in conferences/seminars before the submission of the dissertation/thesis for adjudication and produce evidence for the same in the form of presentation certificates and/or reprints. The journal publications must be in journals having impact factor given by Thomson Reuters/Science Citation Index Journals/UGC listed journals.
- v. Candidate should submit a thesis based on the research work carried out under the supervision of one or more recognized research guides as per the University norms.
- vi. After receipt of positive recommendations on the presentation of the thesis from adjudicators, the candidate should defend the thesis in a viva-voce examination successfully before a committee appointed by the Vice-Chancellor.

## **22. Pre-Viva**

Prior to the submission of the dissertation/thesis, the scholar shall make a presentation in the Department before the RAC of the department concerned which shall also be open to all faculty members and other research scholars. The feedback and comments obtained from them may be suitably incorporated into the draft dissertation/thesis in consultation with the Research Supervisor. Departmental Research Committee should certify the eligibility for submission of the thesis.

## **23. Publication & Presentation of research papers**

Ph.D. scholars must publish at least Two (2) research papers in refereed journal and make two paper presentations in conferences/seminars



before the submission of the dissertation/thesis for adjudication, and produce evidence for the same in the form of presentation certificates and/or reprints. The journal publications must be in journals having impact factor given by Thomson Reuters/Science Citation Index Journals/UGC listed journals.

## **24. Submission of Synopsis**

A candidate can submit the Ph.D. synopsis only when he / she

- a) Has passed the Ph.D. Written examination.
- b) Has published at least two *research articles* in the area of research in a standard / refereed research journal. (The list of such journals shall be furnished by the Departmental Research Committee (DRC) of the Department concerned and should be made available to all the candidates.)

Only such research articles where the name of the candidate or the research supervisor appears as the first or second author will be considered for this purpose. If the candidate has a co-guide or if the candidate receives considerable help from any teacher/scientist/co research scholar for the same work, they may appear as the third author.

- c) Has presented pre-thesis-submission talk on the topic of the thesis in the Department, and the School Dean concerned, the RAC comprising the Guide, the Head of the Department, Chairperson of Board of Studies, Faculty and other Research Scholars shall be present for the seminar. The guide, Head of the department and Chairman of Board of Studies are must for the talk.
- d) The synopsis should be written in English only, except in the case of languages.
- e) Every candidate shall submit TEN (10) hard copies of the synopsis to the Controller of Examinations through proper channel at least One month prior to the submission of thesis along with the following:
  - i) Soft copy of the synopsis
  - ii) Certificate from the Head of the Department to the effect that the Ph.D. Research Scholar has presented the open seminar (Pre-thesis-submission-Talk) on the topic of the thesis.
- f) The research supervisor while forwarding the synopsis to the Controller of Examinations he/she shall address a letter to the Chairman of Board of Studies, along with a copy of synopsis with



a request to submit the panel of examiners to the Controller of Examinations.

- g) The synopsis may be sent to the examiners either by post or by e-mail. The acceptance through e-mail is also acceptable.

## 25. Similarity checks for inclusion under Plagiarism

Policy on plagiarism check has been prescribed by Dravidian University in accordance with University Grants Commission (Promotion of Academic Integrity and Prevention of Plagiarism in Higher Education Institutions) Regulations, 2018 dated 23<sup>rd</sup> July 2018.

- a) **Similarity checks for inclusion under plagiarism:** The research work carried out by the student, faculty, researcher and staff shall be based on original ideas, which shall include abstract, summary, hypothesis, observations, results, conclusions and recommendations only and shall not have any similarities. It shall exclude a common knowledge or coincidental terms, up to fourteen (14) consecutive words.

Every Ph.D., student shall submit a compact disc (CD) at the time of submission of his / her thesis. The said CD shall contain three files relating to his / her research work, namely, (1) first file with title page, (2) second file with the above listed 7 (seven) aspects only, (3) third file with complete thesis / dissertation as per the reporting format applicable to a particular subject.

- b) **Similarity checks for exclusion from Plagiarism:** The similarity checks for plagiarism shall exclude the following:

- i) All quoted work reproduced with all necessary permission and/or attribution.
- ii) All references, bibliography, table of content, preface and acknowledgements.
- iii) All generic terms, laws, standard symbols and standards equations.

- c) **Levels of Plagiarism:** Plagiarism would be quantified into following levels in ascending order of severity for the purpose of its definition:

**Level 0:** Similarities up to 10% - Minor similarities, no penalty

**Level 1:** Similarities above 10% to 40%

**Level 2:** Similarities above 40% to 60%

**Level 3:** Similarities above 60%



#### **d) Penalties**

Penalties in the cases of plagiarism shall be imposed on students pursuing studies at the level of Masters and Research programs and on researcher, faculty & staff of the HEI only after academic misconduct on the part of the individual has been established without doubt, when all avenues of appeal have been exhausted and individual in question has been provided enough opportunity to defend himself or herself in a fair or transparent manner.

- e) Penalties in case of plagiarism in submission of thesis and dissertations  
Institutional Academic Integrity Panel (IAIP) shall impose penalty considering the severity of the Plagiarism.

Level 0: Similarities upto 10% - Minor Similarities, no penalty.

Level 1: Similarities above 10% to 40% - Such student shall be asked to submit a revised script within a stipulated time period not exceeding 6 months.

Level 2: Similarities above 40% to 60% - Such student shall be debarred from submitting a revised script for a period of one year.

Level 3: Similarities above 60% - Such student registration for that programme shall be cancelled.

**Note 1:** Penalty on repeated plagiarism- Such student shall be punished for the plagiarism of one level higher than the previous level committed by him/her. In case where plagiarism of highest level is committed then the punishment for the same shall be operative.

**Note 2:** Penalty in case where the degree/credit has already been obtained - If plagiarism is proved on a date later than the date of award of degree or credit as the case may be then his/her degree or credit shall be put in abeyance for a period recommended by the IAIP and approved by the Vice-Chancellor.

- f) Penalties in case of plagiarism in academic and research publications

I. Level 0: Similarities up to 10% - Minor similarities, no penalty.

II. Level 1: Similarities above 10% to 40%

i) Shall be asked to withdraw manuscript.



**III. Level 2: Similarities above 40% to 60%**

- i) Shall be asked to withdraw manuscript.
- ii) Shall be denied a right to one annual increment.
- iii) Shall not be allowed to be a supervisor to any new Master's, M.Phil., Ph.D., Student /scholar for a period of two years.

**IV. Level 3: Similarities above 60%**

- i) Shall be asked to withdraw manuscript.
- ii) Shall be denied a right to two successive annual increments.
- iii) Shall not be allowed to be a supervisor to any new Master's, M.Phil., Ph.D. Student/scholar for a period of three years.

**Note 1:** Penalty on repeated plagiarism - Shall be asked to withdraw manuscript and shall be punished for the plagiarism of one level higher than the lower level committed by him/her. In case where plagiarism of highest level is committed then the punishment for the same shall be operative. In case level 3 offence is repeated then the disciplinary action including suspension/termination as per service rules shall be taken by the University.

**Note 2:** Penalty in case where the benefit or credit has already been obtained - If plagiarism is proved on a date later than the date of benefit or credit obtained as the case may be then his/her benefit or credit shall be put in abeyance for a period recommended by IAIP and approved by the Vice-Chancellor.

**26. Submission of the Thesis**

- a. After passing the Pre-Ph.D. examination and completing the research work, the candidate shall submit his/her thesis on the concerned topic of research.
- b. Research Scholars working in the University Campus shall submit Ph.D. Thesis through the concerned department in the campus while scholars working in all the Research Centers of University Colleges, Affiliated colleges, and other recognized Research Centers, will submit their Thesis through proper channel.
- c. Prior to submission of the thesis 10 copies of the synopsis along with soft copy should be submitted to the University.
- d. A candidate may utilize for his/her thesis the contents of any work which he/she may have already published on the subject. But he/she shall not submit the whole or any substantial part of the work for which a degree



- has been conferred on him/her by the University or any other University.
- e. The candidate shall enclose to his/her thesis, the printed contribution(s) to the advancement of the subject which he/ she has published independently or jointly with others. (i)The thesis in all subjects shall be written and submitted only in English except in language subjects where the candidate shall have the option of writing the same either in English or in the language concerned. Where the thesis is submitted in a Language other than English, a detailed summary in English version of the thesis shall also be submitted.
  - f. Candidates working for Ph.D. in subjects such as Music, Fine Arts and Theatre arts can write their thesis in English, Telugu or Hindi. If the thesis is not written in English a detailed summary in English should accompany the thesis.
  - g. The candidate should submit the thesis only after One month from the date of submission of synopsis but not later than six months failing which a penal fee Rs. 5000/- should be paid by the candidate for a maximum of three more months' extension to submit the thesis.
  - h. The candidate has to submit Five (5) copies of thesis in hard bound along with the soft copy to the Controller of Examinations through proper channel along with the following:
    - i) Xerox copies of the two published articles or accepted for publication.
    - ii) Certificate from the Research Advisory Committee (RAC ) to the effect that the articles published or accepted for publication are a part of the thesis and they have been published in a standard / refereed journal (as per the list given by the DRC).

**26.1** The thesis shall be prepared as per the following format.

- a) Paper Size - A4
- b) Font – New Times Roman, Font size -12 for text
- c) 1.5 line spacing
- d) One side printing
- e) Hard Bound binding
- f) Tissue / Transparent sheets should not be used
- g) The thesis should not be dedicated.
- h) The title page should contain Dravidian University logo only.

The Research Supervisor shall meticulously observe the above norms while preparing the thesis.

**26.2** The candidate shall also enclose to the thesis, a certificate from the guide(s) under whom he/she worked that the thesis submitted is a bonafide record of research work done by the candidate during the period



of study under his/her/them and that the thesis has not previously formed the basis for the award of any Degree, Diploma, Associate ship, Fellowship or other similar title to the candidate or to any other person(s).

26.3. The candidate shall also append to his/her thesis copies of published papers relevant to his/her work.

## 27. Evaluation of Ph.D. Thesis

*The Ph.D. thesis submitted by a Ph.D. scholar shall be evaluated by his/her Research Supervisor and at least two external examiners who are experts in the field and not in employment of the Higher Educational Institution concerned.* Such examiner(s) should be academics with a good record of scholarly publications in the field. The viva-voce board shall consist of the Research Supervisor and at least one of the two external examiners and may be conducted online. The viva-voce shall be open to the members of the Research Advisory Committee/faculty members/research scholars, and students.

### 27.1 Panel of Examiners for Thesis Evaluation

- a. **Panel I by Research Supervisor** -List of at least six Examiners from within the state/outside the state
  - b. **Panel II by Chairperson, Board of Studies** -List of at least six Indian Adjudicators outside the State of AP and other States shall be from the national institutes/laboratories.
1. The Ph.D. thesis shall be adjudicated by two examiners selected by the Vice-Chancellor one from Panel I and one from Panel II described above.
  2. In case of theses in Fine Arts including Music and National Languages other than English, shall be evaluated by Indian Adjudicators from Panel I and Panel II. If all the three examiners recommend the thesis for the award of Ph.D. there shall be a viva-voce examination.
  3. The Adjudicator's summary report of the thesis should be accompanied by a detailed report. In case the thesis is recommended for revision or rejected outright, the reason for the same has to be incorporated in the report.
  4. If the reports of all the examiners are favorable, the candidate shall be permitted to take Viva- voce and he/she shall be awarded the Ph.D degree on the recommendation of the Vive-voce committee.
  5. If all the examiners reject the thesis, the registration of the candidate



stands cancelled.

6. If a thesis is approved by two examiners and suggested for revision by the third examiner or the thesis is approved by one examiner and suggested for revision by two examiners or all three examiners ask for revision, the candidate will be permitted to resubmit and it will be referred to the same examiners(s), who have suggested for revision and resubmission. At the time of resubmission, the guide has to certify that all the suggestions made by the examiner(s) have been incorporated. A minimum time limit of one month shall be forced for resubmission in all such cases.
7. If two of the examiners approve the thesis and one rejects, or vice-versa or if one of the examiners approves the thesis, one rejects and the other asks for revision, the candidate may be permitted for resubmission. However, in such cases, the examiner(s) who have rejected the thesis shall have to be replaced by new examiner(s) while keeping the other examiner(s) the same. A minimum time limit of one month shall be forced for resubmission in all such cases.
8. If two of the examiners ask for revision and one examiner rejects the thesis or one examiner asks for revision of the thesis and the remaining two reject the thesis, the thesis shall be rejected.

- In a nutshell, the following are the guidelines for taking further course of action in recommending the thesis for the award of Ph.D. degree.

Sl. No.	Recommendation of the adjudicator(s)	Action on the further processing of the thesis
1	Two adjudicators have recommended for the award of the degree	To constitute Viva-Voce committee
2	One/Two of the two adjudicators has/have recommended for revision of the thesis	To revise the thesis by the scholar on the suggestions of the adjudicator(s) and submit the revised thesis through proper channel certified by Research Guide.  The thesis will be sent to the same adjudicator to evaluate the revised version
3	One of the two adjudicators has recommended for rejection of the thesis	To send it to another foreign/out of state /state adjudicator, as the case may be.
4	One examiner asks for revision of the thesis and the remaining two Reject the thesis	To reject the thesis



5	Two adjudicators recommended for rejection of the thesis	To reject the thesis
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## 28. Expediting Evaluation Process

1. The University shall develop appropriate methods so as to complete the entire process of evaluation of Ph.D. thesis within a period of six months from the date of submission of the thesis.
2. In cases where a foreign examiner is not fixed within 15 days from the date of giving e-mail or has not sent the report within three months from the date of dispatch of the thesis (by air-mail), the Vice-Chancellor may consider the change of the foreign examiner with another foreign examiner.
3. In cases where an Indian examiner is not fixed within 15 days from the date of giving e-mail or has not sent the report within two months from the date of dispatch of the thesis (by air-mail), the Vice-Chancellor may consider the change of the Indian examiners with another Indian examiner.

## 29. Ph.D. Open Viva-voce examination

The viva-voce of the Ph.D. scholar to defend the thesis shall be conducted if both the external examiners recommend acceptance of the thesis after incorporating any corrections suggested by them. If one of the external examiners recommends rejection, the Higher Educational Institution concerned shall send the thesis to an alternate external examiner from the approved panel of examiners, and the viva-voce examination shall be held only if the alternate examiner recommends acceptance of the thesis. If the alternate examiner does not recommend acceptance of the thesis, the thesis shall be rejected, and the Ph.D. scholar shall be declared ineligible for the award of a Ph.D.

- a) The viva-voce relating to Ph.D. thesis shall be conducted by a viva-voce committee to be appointed by the Vice-Chancellor. The viva-voce committee shall consist of
  1. The Chairperson of the Board of Studies
  2. School Dean concerned
  3. The Head of the Department as Convener
  4. Research Supervisor



5. One of the External Examiners who adjudicated the thesis as Chairperson (by designation), to be nominated by the Vice-Chancellor).
- b) The Viva is open to all members of the department and allied departments. The candidate shall have to successfully defend his/her work to the satisfaction of majority members of the Viva-Voce committee.
- c) A candidate who is not successful at the Viva-Voce may be permitted to take the viva- voce a second time within a period of three months. No candidate shall be permitted to take the viva-voce more than twice. If the candidate fails for the second time, the case shall be disposed of by the Vice-Chancellor on its merits.
- d) The concerned shall complete the entire process of evaluating a Ph. D. thesis, including the declaration of the viva-voce result, with in a period of six (6) months from the date of submission of the thesis.

### **30. Depository with INFLIBNET**

Following the successful completion of the evaluation process and after the issuance of the award notification of the Ph.D. degree(s), the Co-ordinator, Shodhganga shall submit an electronic copy of the Ph.D. thesis to INFLIBNET, for hosting the same so as to make it accessible to all the Higher Educational Institutions and research institutions.

### **31. Provisional Certificate**

Prior to the actual award of the original degree, the controller of examinations, Dravidian University shall issue a Provisional Certificate that the Ph.D Degree has been awarded in accordance with the provisions of the UGC Regulations, 2022 upon approval of the file by the Vice-Chancellor.

### **32. Award of Ph.D. degrees prior to Notification of these Regulations. -**

Award of degrees to candidates registered for the Ph.D. programme on or after July 11, 2009, till the date of Notification of these Regulations shall be governed by the provisions of the UGC (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degree) Regulations, 2009 or the UGC (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) Regulations, 2016 as the case may be. Further, the award of degrees to candidates already registered and pursuing Ph.D. shall be governed by these



Regulations or UGC (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degree) Regulations, 2016. Nothing in these Regulations shall impact the M.Phil. Degree programmes commencing prior to the enactment of these Regulations.

### 33. Exemption from Ph.D (Part-I: Pre-Ph.D) Written Examination

- a) A Candidate who has passed M.Phil Degree Examination from any Recognized University is exempted from writing Pre-Ph.D. Examination both Paper-1 (Research Methodology) & Paper-2 (Broad Area), if he/she has registered for Ph.D on the same topic. However, the candidate has to write Pre-Ph.D. Examination in Paper-2 and Paper III if his/her Ph.D. Topic is different from that of M.Phil. The candidate has to make a request for exemption, to be approved by the RAC.
- b) The Candidate shall complete Paper-3 and Paper-4 seminar and there is no exemption in this regard.
- c) After qualifying in the Pre-Ph.D. written examination, if there is any change in the area or field of research, the candidate has to take the Pre-Ph.D. written examination in the new area of research before the submission of synopsis.

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*Heera*  
10/12/25  
**REGISTRAR**  
**DRAVIDIAN UNIVERSITY**  
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